

General information about company

| | |
|--|-----------------------|
| Scrip code | 540613 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE721X01015 |
| Name of the company | GAUTAM EXIM LIMITED |
| Whether company is SME | Yes |
| Class of Security | Equity Shares |
| Type of report | Half yearly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2020 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes | Yes | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of (A+B+C) |
|--------------|------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 4 | 2246625 | | | 2246625 | 72.92 | 2246625 | | 2246625 | 72.92 |
| (B) | Public | 40 | 834375 | | | 834375 | 27.08 | 834375 | | 834375 | 27.08 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |

| | | | | | | | | | | | |
|------|--------------------------------|----|---------|--|--|---------|-----|---------|--|---------|-----|
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 44 | 3081000 | | | 3081000 | 100 | 3081000 | | 3081000 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 72.92 | 621000 | 27.64 | | | 2246625 |
| (B) | Public | | | | 27.08 | | | | | 834375 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | 621000 | 20.16 | | | 3081000 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of Total Voting rights |
|-----|--|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 2 | 2158425 | | | 2158425 | 70.06 | 2158425 | | 2158425 | 70.06 |
| (d) | Any Other (specify) | 2 | 88200 | | | 88200 | 2.86 | 88200 | | 88200 | 2.86 |
| | Sub-Total (A)(1) | 4 | 2246625 | | | 2246625 | 72.92 | 2246625 | | 2246625 | 72.92 |
| (2) | Foreign | | | | | | | | | | |
| | Total Shareholding of | | | | | | | | | | |

| | | | | | | | | | | | |
|--|---|----|---------|--|--|---------|-------|---------|--|---------|-------|
| Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 4 | 2246625 | | | 2246625 | 72.92 | 2246625 | | 2246625 | 72.92 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 35 | 129375 | | | 129375 | 4.2 | 129375 | | 129375 | 4.2 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 2 | 57000 | | | 57000 | 1.85 | 57000 | | 57000 | 1.85 |
| (e) | Any Other (specify) | 3 | 648000 | | | 648000 | 21.03 | 648000 | | 648000 | 21.03 |
| Sub-Total (B)(3) | | 40 | 834375 | | | 834375 | 27.08 | 834375 | | 834375 | 27.08 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 40 | 834375 | | | 834375 | 27.08 | 834375 | | 834375 | 27.08 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 44 | 3081000 | | | 3081000 | 100 | 3081000 | | 3081000 | 100 |
| Total (A+B+C) | | 44 | 3081000 | | | 3081000 | 100 | 3081000 | | 3081000 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|---|--|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|--|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
| (1) | Indian | | | | | | | | | |
| (a) | | | | 70.06 | 621000 | 28.77 | | | 2158425 | |
| (d) | | | | 2.86 | 0 | 0 | | | 88200 | |
| Sub-Total (A)(1) | | | | 72.92 | 621000 | 27.64 | | | 2246625 | |
| (2) | Foreign | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 72.92 | 621000 | 27.64 | | | 2246625 | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | |
| (1) | Institutions | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | |
| (a(i)) | | | | 4.2 | | | | | 129375 | |

| | | | | | | | | |
|--|---|--|--|-------|--------|-------|--|---------|
| (a(ii)) | | | | 1.85 | | | | 57000 |
| (e) | | | | 21.03 | | | | 648000 |
| Sub-Total (B)(3) | | | | 27.08 | | | | 834375 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 27.08 | | | | 834375 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | |
| Total (A+B+C2) | | | | 100 | 621000 | 20.16 | | 3081000 |
| Total (A+B+C) | | | | 100 | 621000 | 20.16 | | 3081000 |

| Individuals/Hindu undivided Family | | | |
|---|-----------------------|---------------------------------|---------------------------------------|
| Searial No. | 1 | 2 | |
| Name of the Shareholders (I) | BALASUBRAMANIAN RAMAN | NAGALAXMI RAMAN BALASUBRAMANIAN | Click here to go back |
| PAN (II) | ABEPR9439B | AEGPB3803G | Total |
| No. of fully paid up equity shares held (IV) | 1168650 | 989775 | 2158425 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 1168650 | 989775 | 2158425 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 37.93 | 32.13 | 70.06 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg:X | 1168650 | 989775 | 2158425 |
| Class eg:y | | | |
| Total | 1168650 | 989775 | 2158425 |
| Total as a % of Total Voting rights | 37.93 | 32.13 | 70.06 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. | | | |

| | | | |
|--|---------|--------|---------|
| Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 37.93 | 32.13 | 70.06 |
| Number of Locked in shares (XII) | | | |
| No. (a) | 621000 | 0 | 621000 |
| As a % of total Shares held (b) | 53.14 | 0 | 28.77 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 1168650 | 989775 | 2158425 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |
| Shareholder type | | | |

| Any Other (specify) | | | |
|---|----------------------------------|----------------------------------|---------------------------------------|
| Searial No. | 1 | 2 | |
| Category | Director or Director's Relatives | Director or Director's Relatives | Click here to go back |
| Name of the Shareholders (I) | GAUTAM RAM BALASUBRAMANIAN | RAJALAKSHMI GAUTAM | |
| PAN (II) | AFHPB0806K | AIOPG6901A | Total |
| No. of the Shareholders (I) | 1 | 1 | 2 |
| No. of fully paid up equity shares held (IV) | 44100 | 44100 | 88200 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 44100 | 44100 | 88200 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a | 1.43 | 1.43 | 2.86 |

| | | | |
|--|-------|-------|-------|
| % of (A+B+C2) | | | |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 44100 | 44100 | 88200 |
| Class eg:y | | | |
| Total | 44100 | 44100 | 88200 |
| Total as a % of Total Voting rights | 1.43 | 1.43 | 2.86 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.43 | 1.43 | 2.86 |
| Number of Locked in shares (XII) | | | |
| No. (a) | 0 | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 | 0 |
| Number of Shares pledged or otherwise encumbered (XIII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 44100 | 44100 | 88200 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |
| Shareholder type | | | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| | | |
|------------------------------|-----------------------|---------------------------------------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | PRITESH MAHENDRA SHAH | Click here to go back |
| PAN (II) | AYZPS1516N | Total |

| | | |
|--|-------|-------|
| No. of fully paid up equity shares held (IV) | 33000 | 33000 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 33000 | 33000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.07 | 1.07 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 33000 | 33000 |
| Class eg:y | | |
| Total | 33000 | 33000 |
| Total as a % of Total Voting rights | 1.07 | 1.07 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.07 | 1.07 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 33000 | 33000 |
| Reason for not providing PAN | | |

| | | |
|------------------------------|--|--|
| Reason for not providing PAN | | |
|------------------------------|--|--|

| Any Other (specify) | | | |
|---|--|---|---------------------------------------|
| Serial No. | 1 | 2 | |
| Category | Bodies Corporate | Clearing Members | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | SHREE GAJANAN PAPER AND BOARDS PVT LTD | PANTOMATH STOCK BROKERS PRIVATE LIMITED | Click here to go back |
| PAN (II) | AADCS4411R | AAHCP6713F | Total |
| No. of the Shareholders (I) | 1 | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 57000 | 585000 | 0 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 57000 | 585000 | 0 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.85 | 18.99 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 57000 | 585000 | 0 |
| Class eg:y | | | |
| Total | 57000 | 585000 | 0 |
| Total as a % of Total Voting rights | 1.85 | 18.99 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full | | | |

| | | | |
|--|-------|--------|---|
| conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.85 | 18.99 | 0 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 57000 | 585000 | 0 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence